

APPLICATION FORM FOR CONTRACTOR'S REGISTRATION- (KENYAN FIRMS) - REGULATION 3 and 4

ALL WRITING TO BE IN CAPITAL LETTERS/UPPERCASE (attach documentary evidence)

CLASS(S) OF CONSTRUCTION Class of works	N WORKS APPLIED FOR. Category applied for:	(FOR OFFICIAL USE)
(i)		
(ii)		
(iii)		
(iv)		
(v)		
SECTION I: COMPANY PROF	ILE	
1. Address	4000	
······································	D 101	
	Postal CodeTownStreet	
	Fax No:	
	ilWebsite:	
2. Branch Offices		
Branch Offices (if any)		
Town Locati	ion Address	
1)		
2)		
3)		
3. Company registration		
Attach details of directors as per A	nnex 1.1 (Attach copies of share/academic	
certificates and testimonials for the	e technically qualified directors)	
Certificate of Incorporation Number	er (Attach copy of certificate)	
Registered Capital: I	Paid Up Capital	
Current Business Licence Number	(Attach certified copy(s))	
4. Staff Details		
Attach the details of staff as per Ar	nnex 1.2; Provide WORK PERMIT(S) (For	
Foreign Staff only)	and the second s	
	200	

			(FOR OFFICIAL USE)
	ees (Name and contacts – at least one shoul tion industry)	ld be a professional in the	
Name	Postal address	Telephone	
1)			
3)			
6. Bank	er		
	s Name	* . * . * . * . * . * . * . * . * . * .	
Address			
SECTIO	ON II: FINANCIAL STATUS OF THE F	IRM	
	and other financial resources in possession o		
Firm (A	tach 3 years Audited Accounts and other re	levant financial information)	
Compan	y's fixed (Immovable) Assets in the Annex	x 1.3; (Attach certified copies	
proving	ownership) – N.B. Plant and equipment are	not Fixed Assets).	
	apliance Certificate No		
(Attach	a current copy of certificate)		
	ON III: OFFICE AND SERVICE FACIL		
Indicate per Ann	the office space, service workshop, yard and ex 1.4	d testing section of the firm as	
SECTIO	ON IV: EQUIPMENT OWNED		
Indicate	the particulars of construction equipment ov	wned by the company as per	
Annex 1	.5		
SECTIO	ON V: FIRMS EXPERIENCE		
	ch particulars of contracts in hand or execut	ed before by the firm as per	
Ann	ex 1.6.		
2. Reg	istration with other agencies.		
	ne of agency		
Cate	gory of registration		
3. Mer	nbership of contractor's association (not ma	ndatory for new applicants)	
	ne of the association	(Attach current	
	ificate)		
Mer	nbership no		

Cell phone no: 0700021222; Fixed lines: 02712096, 02712098, 02712099. SECTION VI: FIRMS LITIGATION HISTORY (FOR OFFICIAL USE) 4. Indicate details of litigation, arbitration, adjudication, termination on any contracts and any completion projects as per Annex 1.7. **SECTION VII: DECLARATION** I/we the undersigned hereby declare as follows: (i) My/our signing of this application form implies acceptance of responsibility for the veracity and accuracy of all information submitted therein or therewith. (ii) The information given will be used by the National Construction Authority for the purpose of evaluating this application for registration. Such registration will be approved at the sole discretion of the Authority. Any employer, consultant or banker, past or present is hereby authorized (iii) and requested to provide information on the competence and general reputation of this firm if so requested by the Authority. (iv) The Authority may visit and physically inspect my/our establishment and authenticity of the information given herein, or by our Referees, or obtained from any other source regarding our firm. Failure to complete any part of this application form may result to not (v) being registered. (vi) My/ our firm shall not be engaged in any acts of corruption in whatever (vii) I undertake to comply with the provisions of the code of conduct issued by the National Construction Authority as issued and any revisions from time to time. I understand that non-disclosure of any material information may lead to (viii) automatic disqualification of this application. Signed by In the capacity of(Status in firm) Signature and Stamp.

Witnessed by

Signature.....

DIRECTORS' DETAILS

ATTACH COPIES OF ACADEMIC CERTIFICATES AND CVS

No.	Full Names	Nationality	Highest Qualification	Years of Experience	Percentage Share	FOR OFFICIAL USE
	Technical directors					
						86
	Other directors					
	<u> </u>		1			

National Construction Authority P.O. BOX 21046-00100; website: www.nca.go.ke; Email:info@nca.go.ke, Cell phone no: 0700021222; Direct lines: 020712096, 020712098, 020712099.

STAFF DETAILS

ATTACH COPIES OF ACADEMIC CERTIFICATES AND CVS

No.	Full Names	Nationality	Highest Qualification	Years of experience	 FOR OFFICIAL USE
					2 2

COMPANY'S FIXED (IMMOVABLE) ASSETS

No.	Description	Registration no:	(FOR OFFICIAL USE

OFFICE AND SERVICE FACILITIES

No.	Nature of Space	Rooms	Staff	Installed facilities	Size (M²)	(FOR OFFICIAL USE
						<u>100</u> 100
· · · · · · · · · · · · · · · · · · ·						

PLANTS AND EQUIPMENT

ATTACH PROOF OF OWNERSHIP, WHICH INCLUDE, BUT NOT LIMITED TO; REGISTRATION DOCUMENTS (LOGBOOKS), CERTIFICATE OF IMPORTATION, CERTIFICATE OF SALE, LEASE AGREEMENTS ETC.

No.	Name of Plant/equipment.	Type/Make/Model	Date of manufacture	Registration No./ Serial No/ Other Identification mark	Owned/Leased	FOR OFFICIAL USE

FIRMS EXPERIENCE

ATTACH DOCUMENTARY SUPPORT FOR ONGOING AND COMPLETED PROJECTS (AWARD LETTERS, PRACTICAL COMPLETION CERTIFICATE, CERTIFICATE OF TAKING OVER, FINAL ACCEPTANCE CERTIFICATE)

No.	Project & location	Client and Address	Consultant and address	Contract sum (kshs. M)	Contract Period	FOR OFFICIAL USE

LITIGATION HISTORY

Ref. No.	Project & location	Client and address	Particular of Litigation	Current Status of the matter	FOR OFFICIAL USE

PARTNERSHIPS OF FOREIGN FIRMS & JOINT VENTURES

Project & location	Client and address	Consultant an address	d Contrac (kshs. M	Contract Period	FOR USE	OFFICIAL
DETAILS OF KENYA PARTNERS WITH	FOREIGN FIRMS OR JOINT VENTURE					
	FOREIGN FIRMS OR JOINT VENTURE Address	Class (Registration	of Category Registra	Share Holding	FOR USE	OFFICIAI
				Share Holding		OFFICIAI
				Share Holding		OFFICIAL
DETAILS OF KENYA PARTNERS WITH A				Share Holding		OFFICIA

EVALUATION SHEET (FOR OFFICIAL USE)

ALL WRITING TO BE IN CAPITAL LETTERS/UPPERCASE

Evaluation of this application in all successive stages depends on 100% provision of all the mandatory requirements IN STAGE 1, 2 & 3

STAGE 1: Mandatory requirements in the various sections

SECTION I: COMPANY PROFILE	FOR OFFICIAL USE
Company name and Address	
Certificate of Incorporation/ Certificate of Registration with Societies	
Current Business License	
Valid work Permits for foreign staff	
Referees	
Tax Compliance Certificate	
Declaration	

STAGE 2: Mandatory requirements in the various categories

CATEGORY	TECHNICAL REQUIREMENTS	FOR OFFICIAL USE
NCA 1	At least 1 Director with a minimum of Bachelors Degree in construction related field.	
NCA 2	At least 1 Director with a minimum of Bachelors Degree in construction related field.	
NCA 3	At least 1 Director with a minimum of Higher Diploma in construction related field.	
NCA 4	At least 1 Director with a minimum of Higher Diploma in construction related field.	
NCA 5	Diploma.	
NCA 6	Certificate.	
NCA 7	Trade test.	

Contractor		Minimum requirement	For Official Use
i.	Electrical Installations.	Electrician License C2	
	Generating Plants, Lifts and Escalators.	Electrician License A2	
iii.	Radio Communication	Licensed by CCK	
	Structural Cabling.	Licensed by CCK	
	Telecommunication (PABX, Intercoms and Telephone Wiring).	Licensed by CCK	
	Plumbing and Drainage.	Government Trade Test Grade 2	
vii.	Boilers, Incinerators and Pressure	Boilers Inspectors'	
	Vessels.	License/Certificate under	
		Factories Act CAP 514, Section	
		37	

STAGE 3: EVALUATION OF OTHER PARTICULARS PROVIDED

Reference	Particulars	Max. Marks (%)	For Official Use
Section I:2	Branch Offices	2	
Section I:4	Staff Qualifications (Directors +Other Staff)	34	
Section II	Financial status	30	
Section III	Office and Service Facilities	3	
Section IV	Equipment Owned	15	
Section V	Firms Experience	16	
TOTAL		100	

CATEGORY	MARKS AWARDED	For Official Use
NCA 1	81-100	
NCA 2	66-80	
NCA 3	56-65	
NCA 4	46-55	
NCA 5	36-45	
NCA 6	21-35	
NCA 7	10-20	
NCA 8	Below 10	

Annex 1.10

Fee for Application, Registration, Annual Renewal, charges for Defaulters of Renewal, and fines for Non-Registered Contractors and Accreditation for Skilled Construction Workers and Construction Site Supervisors

	S/NO	TYPE OF APPLICATION	KENYAN CONTRACTORS (KShs.)	FOREIGN CONTRACTORS (US\$)		
Α	1.	New application	5,000.000	500		
	2.	Up-grading class of Contractors	5,000.00	500		
	3.	Appeals	5,000.00	500		
	4.	Replacement of lost Certificates	5,000.00	500		
В	REGISTRATION					
	Category					
	1.	NCA1	100,000.00	2,500		
	2.	NCA2	75,000.00	-		
	3.	NCA3	50,000.00	-		
	4.	NCA4	25,000.00	-		
	5.	NCA5	20,000.00	-		
	6.	NCA6	15,000.00	-		
	7.	NCA7	10,000.00	-		
	8.	NCA8	5,000.00	-		
С		ANNUAL RENEWAL FEES	1	<u> </u>		
	Category					
	1.	NCA1	30,000.00	1,500		
	2.	NCA2	25,000.00	-		
	3.	NCA3	20,000.00	-		
	4.	NCA4	15,000.00	-		
	5.	NCA5	12,000.00	-		
	6.	NCA6	10,000.00	-		
	7.	NCA7	5,000.00	-		
	8.	NCA8	2,500.00	-		
D	CHARGES OF DEFAULTERS OF RENEWAL					
	Category					
	1.	NCA1	90,000.00	4,500		
	2.	NCA2	75,000.00	-		
	3.	NCA3	60,000.00	-		
	4.	NCA4	45,000.00	-		
	5.	NCA5	36,000.00	-		
	6.	NCA6	30,000.00	-		
	7.	NCA7	15,000.00	-		
	8.	NCA8	10,000.00	-		
	Charges	for Non-Registered Contractors	300,000.00	7,500		
		Construction Workers and Constructio	n Site Supervisors	•		
	1.	Skilled Construction Workers	1,000			
	2.	Construction Site Supervisors	2,000			
Training Institution		·				
	1.	Universities	50,000			
	2.	Polytechnics and Technical Colleges	20,000			
	3.	Youth Polytechnics	10,000			

Annex 1.11

Categories of Registration according to capability

1	Contractors (Buildings)		
		Category	Value Limit (Kshs)
	1.	NCA1	Unlimited
	2.	NCA2	Upto 500,000,000.00
	3.	NCA3	Upto 300,000,000.00
	4.	NCA4	Upto 200,000,000.00
	5.	NCA5	Upto 100,000,000.00
	6.	NCA6	Upto 50,000,000.00
	7.	NCA7	Upto 20,000,000.00
	8.	NCA8	Upto 10,000,000.00
2	Spe	cialist Contractors	
		Category	Value Limit (Kshs)
	1.	NCA1	Unlimited
	2.	NCA2	Upto 250,000,000.00
	3.	NCA3	Upto 150,000,000.00
	4.	NCA4	Upto 100,000,000.00
	5.	NCA5	Upto 50,000,000.00
	6.	NCA6	Upto 20,000,000.00
	7.	NCA7	Upto 10,000,000.00
	8.	NCA8	Upto 5,000,000.00
3	Roa	ds and other Civil Works	
		Category	Value Limit (Kshs)
	1.	NCA1	Unlimited
	2.	NCA2	Upto 750,000,000.00
	3.	NCA3	Upto 500,000,000.00
	4.	NCA4	Upto 300,000,000.00
	5.	NCA5	Upto 200,000,000.00
	6.	NCA6	Upto 100,000,000.00
	7.	NCA7	Upto 50,000,000.00
	8.	NCA8	Upto 20,000,000.00